



Official Public Records of Harris County Teneshia Hudspeth County Clerk

Personal Financial Statement Cover Sheet

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Office: Commissioner Pct. 1

Filer Name: Rodney Ellis

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Instrument Type: Personal Financial Statement

Texashir Mudopeth

County Clerk Harris County, Texas





Note: A PFS f	ONAL FINANCIAL STATEMENT iled with the Texas Ethics Commission must be filed electronically. The only exception is appointed to office. See the PFS Instruction Guide for more information.		S - LOCAL COVER SHEET PAGE 1
	Filed in accordance with chapter 572 of the Government Code. gs required in 2021, covering calendar year ending December 31, 2020. se FORM PFS-INSTRUCTION GUIDE when completing this form.	TOTAL NUMBER OF P	AGES FILED: 74
			9940
1 NAME	TITLE: FIRST; MI The Honorable Rodney G.	Date Received	E USE ONLY
:	NICKNAME; LAST; SUFFIX		
2 ADDRES	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE		
		Date Hand-delivered o	or Date Postmarked
		Receipt #	Amount \$
³ TELEPH	ONE AREA CODE PHONE NUMBER; EXTENSION	Date Processed	
NUMBER	(713) 942-5816	Date Imaged	
4 REASON FOR FIL STATEN	ING CANDIDATE	Precinct 1	(INDICATE OFFICE) (INDICATE OFFICE) (INDICATE POSITION)
5 Family mer	nbers whose financial activity you are reporting (see instructions).		
SPOUS	Licia Green-Ellis		
DEPEN	IDENT CHILD 1.		
	2.		
	3.		

In Parts 1 through 20, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14 and 20, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

PERSONAL FINANCIAL STATEMENT

COVER SHEET PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

6 PARTS NOT APPLICABLE TO FILER
N/A Part 1A - Sources of Occupational Income
N/A Part 1B - Retainers
✓ N/A Part 2 - Stock
N/A Part 3 - Bonds, Notes & Other Commercial Paper
N/A Part 4 - Mutual Funds
N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
N/A Part 6 - Personal Notes and Lease Agreements
N/A Part 7A - Interests in Real Property
N/A Part 7B - Interests in Business Entities
N/A Part 8 - Gifts
N/A Part 9 - Trust Income
N/A Part 10A - Blind Trusts
N/A Part 10B - Trustee Statement
N/A Part 11A - Ownership of Business Associations
N/A Part 11B - Assets of Business Associations
N/A Part 11C - Liabilities of Business Associations
N/A Part 12 - Boards and Executive Positions
N/A Part 13 - Expenses Accepted Under Honorarium Exception
N/A Part 14 - Interest in Business in Common with Lobbyist
N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
N/A Part 16 - Representation by Legislator Before State Agency
N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
N/A Part 18 - Legislative Continuances
N/A Part 19 - Contracts with Governmental Entity
N/A Part 20 - Bond Counsel Services Provided by a Legislator

SOURCES OF OCCUPATIONAL INCOME

page in the report.	applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this
	a dependent child's activity, indicate the child about whom you are reporting by the child is listed on the Cover Sheet.
1 INFORMATION RELATES TO	✓ FILER ☐ SPOUSE ☐ DEPENDENT CHILD
² EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check If Filer's Home Address)
● EMPLOYED BY ANOTHER	Harris County 1001 Preston Houston, TX 77002
SELF-EMPLOYED	NATURE OF OCCUPATION Commissioner Precinct 1
INFORMATION RELATES TO	✓ FILER ☐ SPOUSE ☐ DEPENDENT CHILD
EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check If Filer's Home Address)
● EMPLOYED BY ANOTHER	The Law Office of Rodney Ellis, P. C.
SELF-EMPLOYED	NATURE OF OCCUPATION Director/President
INFORMATION RELATES TO	✓ FILER ☐ SPOUSE ☐ DEPENDENT CHILD
EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check If Filer's Home Address)
EMPLOYED BY ANOTHER	Reaud, Morgan & Quinn, L.L.P. 801 Laurel Street Beaumont, TX 77701
SELF-EMPLOYED	NATURE OF OCCUPATION Attorney
COPY A	ND ATTACH ADDITIONAL PAGES AS NECESSARY

SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and do NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. **INFORMATION RELATES TO** SPOUSE DEPENDENT CHILD **FILER** NAME AND ADDRESS OF EMPLOYER / POSITION HELD **EMPLOYMENT** Waterman Steele Group, LLC 3308 West Main Street **EMPLOYED BY ANOTHER** Houston, TX 77098 NATURE OF OCCUPATION SELF-EMPLOYED President INFORMATION RELATES TO SPOUSE FILER DEPENDENT CHILD _ NAME AND ADDRESS OF EMPLOYER / POSITION HELD **EMPLOYMENT** EMPLOYED BY ANOTHER NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO FILER **SPOUSE** DEPENDENT CHILD _ NAME AND ADDRESS OF EMPLOYER / POSITION HELD **EMPLOYMENT** EMPLOYED BY ANOTHER NATURE OF OCCUPATION SELF-EMPLOYED

STOCK					PART 2
- · ·	hmend`shnmhrmn ge in the report.	s`ookto`akd+hmcho`s	dsg`snmO`fd1	nesgd BnudqRgdd	de+and do NOT
and indicate the ca	itegory of the numb nount of the net ga		cquired. If some o	r all of the stock was	uring the calendar year s sold, also indicate the e FORM PFS
		lependent child's activities child is listed on the C		ild about whom you	are reporting by
¹ BUSINESS ENTI	TY	The Law Office of Roo		AME	
² STOCK HELD OF	R ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
³ NUMBER OF SH	ARES	OLESS THAN 100 O 5,000 TO 9,999	0100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD	ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTI	TY	RGE Investments, Inc.		AME	
STOCK HELD OF	R ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SH	ARES	LESS THAN 100 5,000 TO 9,999	100 TO 499 10,000 OR MOR	500 TO 999	1,000 TO 4,999
IF SOLD	ONET GAIN	CLESS THAN \$5,000	005 000 50 000	<u> </u>	<u> </u>
	ONET LOSS	OLESS THAIN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTI		Rice Financial Product	N/	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTI	TY		N/		
	TY R ACQUIRED BY	Rice Financial Product FILER CLESS THAN 100	s, Inc.	DEPENDENT CHI	
STOCK HELD OF	TY R ACQUIRED BY	Rice Financial Product	s, Inc. SPOUSE 100 TO 499	DEPENDENT CHI	LD
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STOCK HELD OF NUMBER OF SH IF SOLD BUSINESS ENTI	TY R ACQUIRED BY ARES ONET GAIN ONET LOSS TY R ACQUIRED BY	Rice Financial Product FILER CLESS THAN 100 5,000 TO 9,999 CLESS THAN \$5,000 Esquire Financial Hold FILER CLESS THAN 100	s, Inc. SPOUSE 100 TO 499 10,000 OR MOR \$5,000\$9,999 No. SPOUSE 100 TO 499	DEPENDENT CHI 500 TO 999 E \$10,000\$24,999 AME DEPENDENT CHI 500 TO 999	LD ① 1,000 TO 4,999 ② \$25,000OR MORE
STOCK HELD OF NUMBER OF SH IF SOLD BUSINESS ENTI STOCK HELD OF	TY R ACQUIRED BY ARES ONET GAIN ONET LOSS TY R ACQUIRED BY	Rice Financial Product FILER OLESS THAN 100 5,000 TO 9,999 OLESS THAN \$5,000 Esquire Financial Hold FILER	\$5,000\$9,999 SPOUSE 10,000 OR MOR \$5,000\$9,999	DEPENDENT CHI 500 TO 999 E \$10,000\$24,999 AME DEPENDENT CHI 500 TO 999	LD ① 1,000 TO 4,999 ② \$25,000OR MORE
STOCK HELD OF NUMBER OF SHE BUSINESS ENTI	TY R ACQUIRED BY ARES ONET GAIN ONET LOSS TY R ACQUIRED BY ARES ONET GAIN ONET LOSS	Rice Financial Product FILER CLESS THAN 100 5,000 TO 9,999 CLESS THAN \$5,000 Esquire Financial Hold FILER CLESS THAN 100 5,000 TO 9,999	S, Inc. SPOUSE 100 TO 499 10,000 OR MOR \$5,000\$9,999 No. SPOUSE 10,000 OR MOR \$5,000\$9,999	DEPENDENT CHI 500 TO 999 E \$10,000\$24,999 AME DEPENDENT CHI 500 TO 999	LD
STOCK HELD OF NUMBER OF SH IF SOLD BUSINESS ENTI STOCK HELD OF NUMBER OF SH IF SOLD	TY R ACQUIRED BY ARES ONET GAIN ONET LOSS TY R ACQUIRED BY ARES ONET GAIN ONET LOSS	Rice Financial Product FILER CLESS THAN 100 5,000 TO 9,999 CLESS THAN \$5,000 Esquire Financial Hold FILER CLESS THAN 100 5,000 TO 9,999 CLESS THAN \$5,000	S, Inc. SPOUSE 100 TO 499 10,000 OR MOR \$5,000\$9,999 No. SPOUSE 10,000 OR MOR \$5,000\$9,999	DEPENDENT CHI 500 TO 999 E \$10,000\$24,999 AME DEPENDENT CHI 500 TO 999 E \$10,000\$24,999	LD \$1,000 TO 4,999 \$25,000OR MORE LD 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OF NUMBER OF SH IF SOLD BUSINESS ENTI STOCK HELD OF NUMBER OF SH IF SOLD BUSINESS ENTI	TY R ACQUIRED BY ARES ONET GAIN ONET LOSS TY R ACQUIRED BY ARES ONET GAIN ONET LOSS TY R ACQUIRED BY	Rice Financial Product FILER OLESS THAN 100 5,000 TO 9,999 OLESS THAN \$5,000 Esquire Financial Hold FILER OLESS THAN 100 5,000 TO 9,999 OLESS THAN \$5,000 Waterman Steele Ground	S, Inc. SPOUSE 100 TO 499 10,000 OR MOR \$5,000\$9,999 10,000 OR MOR 10,000 OR MOR \$5,000\$9,999 p, Inc.	DEPENDENT CHI 500 TO 999 E \$10,000\$24,999 AME DEPENDENT CHI 500 TO 999 E \$10,000\$24,999 AME DEPENDENT CHI 500 TO 999	LD \$1,000 TO 4,999 \$25,000OR MORE LD 1,000 TO 4,999 \$25,000OR MORE

ONET LOSS

STOCK PART 2 Hesgd colpt drisdic Immend 'shimmir imms' ookto' ald+Immolto' sol sg' snimO' fid 1 nesgd BnudgRgdds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Abbvie, Inc. ² STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 100 TO 499 3500 TO 999 LESS THAN 100 1,000 TO 4,999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE) LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS NAME **BUSINESS ENTITY** ABB, Ltd. STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME AIA Group, Ltd. STOCK HELD OR ACQUIRED BY SPOUSE ☐ FILER □ DEPENDENT CHILD 100 TO 499 500 TO 999 1,000 TO 4,999 CLESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 () 10,000 OR MORE IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** NAME Air Liquide DEPENDENT CHILD STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 (100 TO 499 500 TO 999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME AMC Networks, Inc. STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 (•) 100 TO 499 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE

NET LOSS

STOCK PART 2 Hesgd capt area himmend 'shumbir mus' ookto' ald through sol sp' sumO' f d 1 nesgd BudgRgddstand do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Autodesk, Inc. ² STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 100 TO 499 LESS THAN 100 ()500 TO 999 1.000 TO 4.999 3 NUMBER OF SHARES 10,000 OR MORE 5.000 TO 9.999 4 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** America Movil SA ☐ DEPENDENT CHILD STOCK HELD OR ACQUIRED BY FILER SPOUSE 100 TO 499 1500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Anheuser Busch Inbev SA STOCK HELD OR ACQUIRED BY **▼** FILER ☐ SPOUSE DEPENDENT CHILD LESS THAN 100 100 TO 499 C) 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 10,000 OR MORE 5.000 TO 9.999 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** Aon, PLC STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 3500 TO 999 10,000 OR MORE 5.000 TO 9.999 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Asahi Kaisei Corp STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE ☐ DEPENDENT CHILD

NET GAIN

NET LOSS

NUMBER OF SHARES

IF SOLD

1,000 TO 4,999

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

(100 TO 499

10,000 OR MORE

500 TO 999

\$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE

LESS THAN 100

5.000 TO 9.999

STOCK PART 2 Hesad capt aread himsend shammir mus ookto akd+himseto sal sa sa samo f d 1 nesad Bridge Brid include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY ASML Holding NV 2 STOCK HELD OR ACQUIRED BY DEPENDENT CHILD **✓** FILER ☐ SPOUSE LESS THAN 100 500 TO 999 100 TO 499 () 1,000 TO 4,999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE \$5,000--\$9,999 LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** Assa Abloy AB STOCK HELD OR ACQUIRED BY SPOUSE ☐ DEPENDENT CHILD FILER 500 TO 999 1,000 TO 4,999 LESS THAN 100 100 TO 499 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Astrazeneca PLC STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD FILER CLESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN \$10,000-\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** B&M European Value Ret SA STOCK HELD OR ACQUIRED BY **✓** FILER ີ SPOUSE DEPENDENT CHILD 1.000 TO 4.999 NUMBER OF SHARES (ESS THAN 100 100 TO 499 500 TO 999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Banco Bilbao Viz Arg SA STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE ☐ DEPENDENT CHILD (500 TO 999 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499

NET GAIN

NET LOSS

IF SOLD

\$10,000-\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

10,000 OR MORE

\$5,000--\$9,999

5.000 TO 9.999

STOCK PART 2 Hesgd colpt drisdic Immend 'shimmhir imis' ookto' ald Himcho' sol sg' snimO' fid 1 nesgd Bhiudg Rgdds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NIAME Beigene LTD ² STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD (C) LESS THAN 100 100 TO 499 500 TO 999 1.000 TO 4,999 3 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** British American Tob STOCK HELD OR ACQUIRED BY FILER SPOUSE ☐ DEPENDENT CHILD 100 TO 499 3500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Canadian National Railway Co STOCK HELD OR ACQUIRED BY **▼** FILER □ SPOUSE ☐ DEPENDENT CHILD 1,000 TO 4,999 100 TO 499 500 TO 999 NUMBER OF SHARES LESS THAN 100 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5.000--\$9.999 \$10,000--\$24,999 \$25.000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Carlsberg AS SPOUSE STOCK HELD OR ACQUIRED BY **✓** FILER DEPENDENT CHILD 1,000 TO 4,999 (100 TO 499 500 TO 999 NUMBER OF SHARES LESS THAN 100 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000-\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Campagnie Fin Richemontag STOCK HELD OR ACQUIRED BY ☐ SPOUSE FILER ☐ DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (100 TO 499 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE

IF SOLD

NET GAIN

NET LOSS

\$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

STOCK PART 2 Hesgd capt dred hend 'shimmhr mis' ookto ald him cho's deg 's nmO'f d 1 nesgd Bnudg Rgdds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Biogen, Inc. 2 STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD 100 TO 499 LESS THAN 100 ()500 TO 999 () 1.000 TO 4.999 3 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5.000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Broadcom, Inc. STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD **▼ FILER** 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 100 NUMBER OF SHARES 5.000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 LESS THAN \$5,000 \$10,000-\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Cerence, Inc. STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 1,000 TO 4,999 100 TO 499 500 TO 999 LESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000-\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Comcast Corp STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES CLESS THAN 100 100 TO 499 3500 TO 999 (a) 1,000 TO 4,999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Cree Research, Inc. STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (•) 100 TO 499 500 TO 999 1,000 TO 4,999 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000-\$24,999 \$25,000-OR MORE LESS THAN \$5,000 NET LOSS

STOCK Hesad colpt dredc hmend `shnmhr n include this page in the repor		d sg`snmO`fd1	nesgd BnudqRgdd	PART 2
List each business entity in which you and indicate the category of the numerategory of the amount of the net guidant to the second support of the second	nber of shares held or a	cquired. If some o	r all of the stock was	s sold, also indicate the
When reporting information about a providing the number under which the			ild about whom you	are reporting by
¹ BUSINESS ENTITY	Daiichi Sankyo Co., L		AME	
² STOCK HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
³ NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Daikin Industries, LTI		AME	
STOCK HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	O LESS THAN 100 5,000 TO 9,999	100 TO 499 10,000 OR MOF	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	O\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	DBS Group Holdings,	LTD	AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	© LESS THAN 100 0 5,000 TO 9,999	100 TO 499	O 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Discovery, Inc.	N	AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100	0100 TO 499	●500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN	5,000 TO 9,999	010,000 OR MOF		<u></u>
ONET LOSS	C LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Dolby, Inc.	N	AME	
STOCK HELD OR ACQUIRED BY	/ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 0 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN				000000000000000000000000000000000000000
ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE

STOCK PART 2 Hesgd colpt drisdic Immend is shimmer imms is ookto's ald + Immerto's sdisg's nimO'f did nesgd Bhudg Rgdds+ and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet, BUSINESS ENTITY NAME Deutsche Boerse AG ² STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 500 TO 999 LESS THAN 100 () 1.000 TO 4.999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5.000--\$9.999 NET LOSS **BUSINESS ENTITY** Diageo PLC STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 500 TO 999 1.000 TO 4.999 NUMBER OF SHARES (•) LESS THAN 100 100 TO 499 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE ONET LOSS **BUSINESS ENTITY** NAME DNB ASA STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 1,000 TO 4,999 CLESS THAN 100 C) 500 TO 999 NUMBER OF SHARES 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000-\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** DSV Panalpina AS STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 100 TO 499 1,000 TO 4,999 NUMBER OF SHARES (C) LESS THAN 100 3500 TO 999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Enel Societa Per Azioni STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD

NET GAIN

NET LOSS

NUMBER OF SHARES

IF SOLD

1,000 TO 4,999

\$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

100 TO 499

10,000 OR MORE

\$5,000--\$9,999

500 TO 999

LESS THAN 100

5.000 TO 9.999

STOCK PART 2 Hesad capt aread himmend 'shimmer mis' ookto' ald three ho's say snimO'fd 1 nesad Bhuda Raddstand do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Engie, Inc. ² STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD **✓** FILER 100 TO 499 LESS THAN 100 500 TO 999 1,000 TO 4,999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5.000--\$9.999 NET LOSS NAME **BUSINESS ENTITY** Essilorluxottica STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 100 TO 499 1500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE NET LOSS NAME **BUSINESS ENTITY** Flutter Entertainment, PLC STOCK HELD OR ACQUIRED BY **▼** FILER ☐ SPOUSE ☐ DEPENDENT CHILD 1.000 TO 4.999 LESS THAN 100 100 TO 499 500 TO 999 NUMBER OF SHARES 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Fireeye, Inc. STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 (•)500 TO 999 10,000 OR MORE (C) 5,000 TO 9,999 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Freeport-McMoran STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE

NET GAIN

NET LOSS

IF SOLD

\$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

\$5,000--\$9,999

STOCK PART 2

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List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 BUSINESS ENTIT	ΓΥ	Genmab AS	NA	AME	
² STOCK HELD OF	R ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHA	ARES	OLESS THAN 100	100 TO 499	O 500 TO 999	O 1,000 TO 4,999
		O 5,000 TO 9,999	10,000 OR MOR	RE	
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	ONET LOSS				
BUSINESS ENTI	ΓΥ	Givaudan SA	N/	AME	
STOCK HELD OF	R ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	ARES	LESS THAN 100	100 TO 499	O500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	RE	
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BUSINESS ENTI	ΓΥ	Grifols SA	N/	AME	
STOCK HELD OF	R ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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		O 5,000 TO 9,999	10,000 OR MOR	RE	
IF SOLD	ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTI	TY	Guardant Health, Inc.	N/	AME	
STOCK HELD OF	R ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	ARES	OLESS THAN 100	O100 TO 499	O500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	RE	
IF SOLD	ONET GAIN	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY		HDFC Holdings, LTD		AME	
STOCK HELD OF	RACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHA	ARES	OLESS THAN 100	100 TO 499	O 500 TO 999	1,000 TO 4,999
		5,000 TO 9,999	10,000 OR MOR	RE	
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STOCK PART 2 Hesgd capt dred himmend 'shimmhir imis' ookto' ald+himcho' ed eg' sinmO' fid 1 neegd Bridge Bridge Rigdde NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Hermes International SCA ² STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD LESS THAN 100 () 1,000 TO 4,999 100 TO 499 500 TO 999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN CLESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Hong Kong Exchanges & Clearing STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 500 TO 999 (1,000 TO 4,999 (LESS THAN 100 100 TO 499 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 NET LOSS NAME **BUSINESS ENTITY** HOYA Corp SPOUSE STOCK HELD OR ACQUIRED BY **✓** FILER ■ DEPENDENT CHILD 1.000 TO 4.999 LESS THAN 100 100 TO 499 C) 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** NAME Hutchison China Meditech, LTD STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD **▼** FILER 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 3500 TO 999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Iberdrola SA STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE ■ DEPENDENT CHILD NUMBER OF SHARES 500 TO 999 1,000 TO 4,999 LESS THAN 100 (•) 100 TO 499 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS

STOCK PART 2 Hesad adopt dred hemend 'shamhir mas' ookto'akd+harcho'sd sa's nmO'f d 1 nesad Bauda Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Industria De Diseno Textile Industries 2 STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 LESS THAN 100 500 TO 999 1.000 TO 4.999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS NAME **BUSINESS ENTITY** Immunogen, Inc. STOCK HELD OR ACQUIRED BY DEPENDENT CHILD FILER SPOUSE 3500 TO 999 100 TO 499 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN \$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE LESS THAN \$5,000 NET LOSS NAME **BUSINESS ENTITY** Ionis Pharmaceuticals, Inc. STOCK HELD OR ACQUIRED BY ☐ SPOUSE ☐ DEPENDENT CHILD **✓** FILER 100 TO 499 500 TO 999 1.000 TO 4.999 LESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** NAME Jardine Matheson Holdings, LTD STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD FILER (100 TO 499 500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 (C)10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Johnson Controls International, PLC STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD () 500 TO 999 NUMBER OF SHARES LESS THAN 100 100 TO 499) 1,000 TO 4,999 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE \$5,000--\$9,999 LESS THAN \$5,000 NET LOSS

STOCK PART 2 Hesad capt dread hemmend 'shammhar mas' ookto akd+hmacho sal sa's nmO'f d 1 nesad Bauda Raddes+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Kering SA ² STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD √ FILER 100 TO 499 LESS THAN 100 500 TO 999 1.000 TO 4.999 3 NUMBER OF SHARES 10,000 OR MORE 5,000 TO 9,999 4 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Keyence Corp. STOCK HELD OR ACQUIRED BY **V** FILER SPOUSE DEPENDENT CHILD 100 TO 499)500 TO 999 1,000 TO 4,999 NUMBER OF SHARES (LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999)LESS THAN \$5,000 \$10,000--\$24,999 \$25,000--OR MORE ONET LOSS **BUSINESS ENTITY** NAME Koninkluke Phil El STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD 1,000 TO 4,999 100 TO 499 500 TO 999 LESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** L3Harris Technologies, Inc. STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE DEPENDENT CHILD (100 TO 499 500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 ()10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Liberty Broadband Corp STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES (•) LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999

NET GAIN

NET LOSS

IF SOLD

\$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

10,000 OR MORE

\$5,000--\$9,999

5,000 TO 9,999

STOCK PART 2 Hesad capt dred hemend 'shimmhir imis' ookto ald+himcho sal sa sa samo f d 1 nesad Bnuda Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Liberty Media Corp 2 STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD FILER 100 TO 499 3500 TO 999 3 NUMBER OF SHARES LESS THAN 100 () 1,000 TO 4,999 10,000 OR MORE 5.000 TO 9.999 4 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE) LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** L'Oreal Co STOCK HELD OR ACQUIRED BY **V** FILER SPOUSE DEPENDENT CHILD ()1,000 TO 4,999 100 TO 499 500 TO 999 LESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 NET LOSS NAME **BUSINESS ENTITY** London Stock Exchange Group STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD FILER (100 TO 499 CLESS THAN 100 500 TO 999 (1,000 TO 4,999 NUMBER OF SHARES 5.000 TO 9.999 () 10,000 OR MORE IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** LVMH Moet Hennessy STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD FILER 1.000 TO 4.999 (ESS THAN 100 100 TO 499 (C)500 TO 999 NUMBER OF SHARES (5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN C LESS THAN \$5.000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Lions Gate Entertainment Corp STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (100 TO 499 500 TO 999) 1,000 TO 4,999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000

NET LOSS

STOCK PART 2 Hesad capt drisde Imend 'shimit imis' ookto' ald Himelto' sal say' shimit if d 1 nesad Bhuda Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Medtronic PLC ² STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 3500 TO 999 LESS THAN 100 1.000 TO 4.999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5.000 \$5,000--\$9,999 NET LOSS NAME **BUSINESS ENTITY** Mercadolibre Inc. STOCK HELD OR ACQUIRED BY **V** FILER SPOUSE DEPENDENT CHILD (LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 **NUMBER OF SHARES** 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Murata Manufacturing Co., LTD SPOUSE STOCK HELD OR ACQUIRED BY DEPENDENT CHILD **▼** FILER 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$10,000-\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** National Oilwell Varco, Inc. STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE DEPENDENT CHILD ()500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 100 TO 499 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Nestle, Inc.

STOCK HELD OR ACQUIRED BY

NET GAIN

NET LOSS

NUMBER OF SHARES

IF SOLD

) 1,000 TO 4,999

\$10,000-\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

☐ SPOUSE

100 TO 499

10,000 OR MORE

\$5,000--\$9,999

DEPENDENT CHILD

500 TO 999

FILER

(ESS THAN 100

5.000 TO 9.999

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List each business entity in which you and indicate the category of the number category of the amount of the net gainstruction GUIDE.	er of shares held or ac	cquired. If some o	r all of the stock was	s sold, also indicate the
When reporting information about a comproviding the number under which the			ild about whom you	are reporting by
¹ BUSINESS ENTITY	Nidec Corp	N	AME	
² STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	500 TO 999	O 1,000 TO 4,999
4 IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Nippan Telegraph & T		AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499 10,000 OR MOR	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Nitori Holdings Co., L'		AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499 10,000 OR MOR	O 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Novo Nordisk AS	N ₂	AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	O 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	NOW, Inc.		AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 05,000 TO 9,999	100 TO 499 10,000 OR MOR	6 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE

STOCK PART 2 Hesad capt dread hmend 'shumhr mus' ookto' ald hmetho' sol sa' sumo' f d 1 nesad Buuda Radde Hand do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. MAME ¹ BUSINESS ENTITY Nuance Communications, Inc. ² STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 100 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS NAME **BUSINESS ENTITY** Nucor Corp STOCK HELD OR ACQUIRED BY FILER SPOUSE ☐ DEPENDENT CHILD 100 TO 499 500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Pentair PLC STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 500 TO 999 1.000 TO 4.999 CLESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Pernod Ricard SA STOCK HELD OR ACQUIRED BY SPOUSE **✓** FILER DEPENDENT CHILD (100 TO 499 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 (C)500 TO 999 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Prosus NV STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (100 TO 499 \$500 TO 999 1.000 TO 4.999 5.000 TO 9.999 10,000 OR MORE

NET GAIN

NET LOSS

IF SOLD

\$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

\$5,000--\$9,999

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List each business entity in which you and indicate the category of the number category of the amount of the net gainstruction GUIDE.	per of shares held or ac	cquired. If some or	all of the stock was	s sold, also indicate the
When reporting information about a control providing the number under which the			ld about whom you	are reporting by
¹ BUSINESS ENTITY	Qurate Retail, Inc.	N.A	ME	
² STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	LD
³ NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	0100 TO 499 010,000 OR MOR	O 500 TO 999	1,000 TO 4,999
4 IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Reckitt Benckiser PLC		ME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 0 5,000 TO 9,999	100 TO 499 10,000 OR MOR		1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Recruit Holdings Co., I		ME	——————————————————————————————————————
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	O 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	O LESS THAN \$5,000	\$5,000\$9,999	O \$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Relx PLC	NA	AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	OLESS THAN 100	100 TO 499		1,000 TO 4,999
	O 5,000 TO 9,999	010,000 OR MOR	E	
IF SOLD ONET GAIN ONET LOSS	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Rio Tinto PLC	N/	AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES	LESS THAN 100 5,000 TO 9,999	100 TO 499 10,000 OR MOR	O 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN	OLESS THAN \$5,000			\$25,000OR MORE

ONET LOSS

STOCK PART 2 Hesad adpt dredchmend 'shamhir mas' ookto'ald+hmacho'sd sa's nmO'f d 1 nesad Bauda Raddes+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Roval KPN NV ² STOCK HELD OR ACQUIRED BY DEPENDENT CHILD **✓** FILER ☐ SPOUSE 500 TO 999 (•) 1,000 TO 4,999 100 TO 499 3 NUMBER OF SHARES LESS THAN 100 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5.000 ()\$5,000--\$9,999 NET LOSS NAME **BUSINESS ENTITY** Safran SA STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE DEPENDENT CHILD 500 TO 999 1.000 TO 4,999 LESS THAN 100 100 TO 499 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME SAP AG STOCK HELD OR ACQUIRED BY SPOUSE FILER ☐ DEPENDENT CHILD 1,000 TO 4,999 LESS THAN 100 100 TO 499 C) 500 TO 999 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Seagate Technology, PLC SPOUSE STOCK HELD OR ACQUIRED BY **▼** FILER DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (•) 100 TO 499 500 TO 999 1,000 TO 4,999 10,000 OR MORE 5.000 TO 9.999 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Shin ETSU Chem Co., LTD

STOCK HELD OR ACQUIRED BY

NET GAIN

NET LOSS

NUMBER OF SHARES

IF SOLD

1,000 TO 4,999

\$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

☐ SPOUSE

(100 TO 499

10,000 OR MORE

\$5,000--\$9,999

DEPENDENT CHILD

500 TO 999

✓ FILER

LESS THAN 100

5.000 TO 9.999

STOCK PART 2 Hesad capt aread himmend 'shimmhir mins' ookto' aka'+himcho' sal sa' sinm O'f all nesad Bhuada Raddes+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Shionogi & Co., LTD ² STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD **☑** FILER 100 TO 499 TESS THAN 100 500 TO 999 1.000 TO 4.999 3 NUMBER OF SHARES 10,000 OR MORE 5.000 TO 9.999 NET GAIN 4 IF SOLD LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME SMC Corp STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 3500 TO 999 1,000 TO 4,999 (ESS THAN 100 100 TO 499 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Softbank Corp ☐ SPOUSE STOCK HELD OR ACQUIRED BY FILER ☐ DEPENDENT CHILD CLESS THAN 100 100 TO 499 1,000 TO 4,999 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN C LESS THAN \$5,000 \$5,000--\$9,999 \$10,000-\$24,999 \$25,000-OR MORE NET LOSS **BUSINESS ENTITY** ST Microelectronics NV STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 10,000 OR MORE 5.000 TO 9.999 IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Straumann Holdings AG STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD NUMBER OF SHARES (•) LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 10,000 OR MORE 5,000 TO 9,999

NET GAIN

NET LOSS

IF SOLD

\$10,000--\$24,999 \$25,000--OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

LESS THAN \$5,000

\$5,000--\$9,999

STOCK PART 2 Hesad capt ar salc Immend 'strimm' mms' ookto' ald + Immorto' sal say' snmO' f d 1 nesad Bnuda Radds+ and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Suzuki Motor Corp ² STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD **✓** FILER LESS THAN 100 500 TO 999 100 TO 499 () 1,000 TO 4,999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE) LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** Taiwan Semiconductor Mfg. Co., LTD STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE ☐ DEPENDENT CHILD 100 TO 499 500 TO 999 (1,000 TO 4,999) NUMBER OF SHARES LESS THAN 100 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Tencent Holdings, LTD STOCK HELD OR ACQUIRED BY SPOUSE **▼** FILER □ DEPENDENT CHILD 100 TO 499 1,000 TO 4,999 500 TO 999 CLESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** TE Connectivity, LTD STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 (•) 100 TO 499 3500 TO 999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Terumo Corp STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE T DEPENDENT CHILD 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 500 TO 999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS

STOCK PART 2 Hesgd calpt drisdic Invend 'shimmir imis' ookto' ald+Inricho'sd sg's nmO'fd 1 nesgd BhudqRgdds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME TFI International, Inc. 2 STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD **✓** FILER LESS THAN 100 500 TO 999 100 TO 499 1,000 TO 4,999 3 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE) LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** Tokyo Electron, LTD STOCK HELD OR ACQUIRED BY SPOUSE ☐ DEPENDENT CHILD **▼** FILER 500 TO 999 (1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Total SA STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 1,000 TO 4,999 100 TO 499 500 TO 999 LESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 () 10,000 OR MORE IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Twitter, Inc. STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD (1,000 TO 4,999 100 TO 499 500 TO 999 NUMBER OF SHARES ()LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Unicharm Corp STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE ☐ DEPENDENT CHILD (500 TO 999 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000-\$24,999 \$25,000--OR MORE) LESS THAN \$5,000 NET LOSS

STOCK PART 2 Hesad capt dred himmend 'shimmhir mins' ookto ald+himcho's deg 's nmO'f d 1 nesad Bhuda Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Unilever PLC 2 STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD LESS THAN 100 100 TO 499 3 NUMBER OF SHARES 500 TO 999 1.000 TO 4.999 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN LESS THAN \$5.000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** United Health Group, Inc. STOCK HELD OR ACQUIRED BY FILER SPOUSE ☐ DEPENDENT CHILD 500 TO 999 (1,000 TO 4,999 LESS THAN 100 100 TO 499 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Vertex Pharmaceuticals, Inc. STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE ☐ DEPENDENT CHILD OLESS THAN 100 100 TO 499 1.000 TO 4.999 C) 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$10,000-\$24,999 \$25,000--OR MORE LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Western Digital Corp STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE DEPENDENT CHILD LESS THAN 100 ()100 TO 499 3500 TO 999 1.000 TO 4.999 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5.000--\$9.999 \$10.000--\$24.999 \$25.000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Abbvie, Inc. STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES 100 TO 499 500 TO 999) 1,000 TO 4,999 (•)LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS

STOCK PART 2 Hesad adpt dread hmend 'shimmhir mine' ookto add+hmotho'ed eg' sinm O'f d 1 neegd Bhuda Raddes+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Aegon NV ² STOCK HELD OR ACQUIRED BY DEPENDENT CHILD **✓** FILER ☐ SPOUSE LESS THAN 100 100 TO 499 500 TO 999 () 1,000 TO 4,999 3 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE (ESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** NAME Airbus SE STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD (ESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE (•)LESS THAN \$5,000 NET LOSS NAME **BUSINESS ENTITY** Allergan PLC STOCK HELD OR ACQUIRED BY SPOUSE ☐ DEPENDENT CHILD **▼** FILER 100 TO 499 C) 500 TO 999 1.000 TO 4.999 LESS THAN 100 NUMBER OF SHARES (10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Ambev SA SPOUSE STOCK HELD OR ACQUIRED BY 7 FILER DEPENDENT CHILD (a) 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499)500 TO 999 5,000 TO 9,999 ()10,000 OR MORE IF SOLD NET GAIN \$5.000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE (•) LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** NAME America Movil SA STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES 3 500 TO 999 1,000 TO 4,999 LESS THAN 100 (•) 100 TO 499

NET GAIN

NET LOSS

IF SOLD

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

10,000 OR MORE

\$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE

5,000 TO 9,999

STOCK Hespd oppt or solc himenol include this page in t		s`ookto`akd+hmcho`so	lsg`snmO`fd1	nesgd BnudqRgdd	PART 2
List each business entity is and indicate the category category of the amount of INSTRUCTION GUIDE.	of the numb	er of shares held or ac	quired. If some or	r all of the stock was	sold, also indicate the
When reporting information providing the number under				ild about whom you	are reporting by
¹ BUSINESS ENTITY		Anheiser Busch Inbev		AME	
² STOCK HELD OR ACQ	JIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	D
³ NUMBER OF SHARES		LESS THAN 100 5,000 TO 9,999	0100 TO 499 010,000 OR MOR	O 500 TO 999	1,000 TO 4,999
ă .	ET GAIN ET LOSS	• LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	O \$25,000OR MORE
BUSINESS ENTITY		ASML Holding NV	N/	AME	
STOCK HELD OR ACQ	JIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	
NUMBER OF SHARES		LESS THAN 100 5,000 TO 9,999	100 TO 499 10,000 OR MOR	500 TO 999	O1,000 TO 4,999
	ET GAIN ET LOSS	OLESS THAN \$5,000	\$5,000-\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY		Autodesk, Inc.	NA NA	AME	
STOCK HELD OR ACQ	JIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES		O LESS THAN 100 O 5,000 TO 9,999	O 100 TO 499 O 10,000 OR MOR	O 500 TO 999	1,000 TO 4,999
_	ET GAIN ET LOSS	O LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
BUSINESS ENTITY		Barclays PLC	NA NA	AME	
STOCK HELD OR ACQ	JIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARES		OLESS THAN 100 O 5,000 TO 9,999	0100 TO 499	○ 500 TO 999	1,000 TO 4,999
	ET GAIN	O LESS THAN \$5,000	O\$5,000\$9,999		\$25,000OR MORE
BUSINESS ENTITY		BASF SE	NA	AME	
STOCK HELD OR ACQ	JIRED BY	✓ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES		OLESS THAN 100 O 5,000 TO 9,999	100 TO 499 10,000 OR MOR	500 TO 999	1,000 TO 4,999
<u> </u>	ET GAIN ET LOSS	• LESS THAN \$5,000	\$5,000\$9,999		\$25,000OR MORE

STOCK PART 2 Hesad capt dread hmend 'shimmir mis' ookto' ald+hmeto' sal sa' snimO' f d 1 nesad BnudaRadds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Bic SA ² STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD FILER 100 TO 499 500 TO 999 LESS THAN 100 1,000 TO 4,999 3 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NFT GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY BNP Paribas SP** STOCK HELD OR ACQUIRED BY SPOUSE **▼ FILER** DEPENDENT CHILD 100 TO 499 500 TO 999 (1,000 TO 4,999 LESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN ()LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME BP PLC STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD CLESS THAN 100 100 TO 499 **6** 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Cameco Corp STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 1,000 TO 4,999 LESS THAN 100 100 TO 499 ()500 TO 999 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN (LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Carrefour SA STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES

NET GAIN

NET LOSS

IF SOLD

(a) 1,000 TO 4,999

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

100 TO 499

10,000 OR MORE

500 TO 999

\$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE

LESS THAN 100

5,000 TO 9,999

(•) LESS THAN \$5,000

STOCK PART 2 Hesad calpt drisdic Immend 'strimm'r mins' ookto' ald+Immelto' sal say' sinmo' fid 1 nesad Bridge Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Cemex SA ² STOCK HELD OR ACQUIRED BY DEPENDENT CHILD ☐ FILER ☐ SPOUSE 3500 TO 999 1,000 TO 4,999 100 TO 499 3 NUMBER OF SHARES LESS THAN 100 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE (•) LESS THAN \$5.000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Cerence, Inc. STOCK HELD OR ACQUIRED BY **▼ FILER** SPOUSE DEPENDENT CHILD (LESS THAN 100 1.000 TO 4.999 100 TO 499 500 TO 999 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN ()LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME China Mobile, LTD STOCK HELD OR ACQUIRED BY SPOUSE FILER ☐ DEPENDENT CHILD 100 TO 499 1,000 TO 4,999 C) 500 TO 999 CLESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE **!** LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Comcast Corp STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD LESS THAN 100 NUMBER OF SHARES 100 TO 499 500 TO 999 1,000 TO 4,999 5.000 TO 9.999 10.000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000) \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Compagnie Fin RicheMontag STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499) 500 TO 999 (a) 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN (•) LESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE

NET LOSS

STOCK PART 2 Hespid colpt drisdic Immend 'shimmhir imms' ookto' ald+himcho' sol sg' snimO' fid 1 nespid Bnudg Rgdds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Danone 2 STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 500 TO 999 3 NUMBER OF SHARES LESS THAN 100 () 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE () LESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** NAME Embraer SA STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD FILER 100 TO 499 ()500 TO 999 (1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN LESS THAN \$5,000 (\$5,000--\$9,999 () \$10,000--\$24,999 () \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Enbridge, Inc. STOCK HELD OR ACQUIRED BY SPOUSE ☐ DEPENDENT CHILD FILER C 500 TO 999 1,000 TO 4,999 LESS THAN 100 100 TO 499 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Enel Chile SA STOCK HELD OR ACQUIRED BY **V** FILER DEPENDENT CHILD (a) 1,000 TO 4,999 LESS THAN 100 100 TO 499 3500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Engie STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 (500 TO 999 1,000 TO 4,999

NET GAIN

NET LOSS

IF SOLD

\$10,000-\$24,999 \$25,000--OR MORE

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10,000 OR MORE

\$5,000--\$9,999

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When reporting information about a c providing the number under which the			ild about whom you	are reporting by
¹ BUSINESS ENTITY	Eni SOA Amer Dep R		AME	
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STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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BUSINESS ENTITY	Freeport McMoran	N.	AME	
STOCK HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
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BUSINESS ENTITY	G45 PLC	N.	AME	
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STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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¹ BUSINESS ENTITY	GlaxoSmithKline PLC		AME	
² STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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BUSINESS ENTITY	Health Care Select	N	AME	
STOCK HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
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BUSINESS ENTITY	Henkel AG & Co	N	AME	
STOCK HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
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BUSINESS ENTITY	Honda Motor Compan		AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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STOCK PART 2 Hesgd colpt drisdic Immend 'shimmin imms' ookto' ald Himcho'sd sg' snimO' fid 1 nesgd Bhiudg Rgdds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Kingfisher PLC 2 STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD (•) 1,000 TO 4,999 OLESS THAN 100 100 TO 499 500 TO 999 3 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE (LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** Liberty Broadband Corp STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE DEPENDENT CHILD (1,000 TO 4,999 (ESS THAN 100 100 TO 499 500 TO 999 NUMBER OF SHARES 5.000 TO.9.999 10,000 OR MORE IF SOLD NET GAIN ()LESS THAN \$5,000 \$5,000--\$9,999 () \$10,000--\$24,999 () \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Liberty Media Corp STOCK HELD OR ACQUIRED BY ☐ SPOUSE ☐ DEPENDENT CHILD **✓** FILER 100 TO 499 **O** 500 TO 999 1,000 TO 4,999 LESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000-\$24,999 \$25,000-OR MORE NET LOSS **BUSINESS ENTITY** Logmein, Inc. STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD LESS THAN 100 1.000 TO 4.999 NUMBER OF SHARES 100 TO 499 500 TO 999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN (•) LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME London Stock Exchange Group STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES 1,000 TO 4,999 (LESS THAN 100 100 TO 499 500 TO 999 5.000 TO 9.999 10,000 OR MORE

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¹ BUSINESS ENTITY		LCMH Moet Hennessy		AME	
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BUSINESS ENTITY	<u> </u>	Mobile Telesystems PJ		AME	
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¹ BUSINESS ENTITY	MS&AD Insurance Gr		AME	
² STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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IF SOLD • NET GAIN • ONET LOSS	OLESS THAN \$5,000	O \$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
BUSINESS ENTITY	Nissan Motor Co., LTI		AME	
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BUSINESS ENTITY	Nokia CP	N	AME	
STOCK HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
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BUSINESS ENTITY	Novartis AG	N	AME	
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STOCK PART 2 Hesad adpt dred hemend 'shimmhir mine' ookto add+himcho's deg' sinm O'f d 1 neegd Bridge Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Novo Nordisk AVS ² STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 3 NUMBER OF SHARES 5.000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE (ESS THAN \$5,000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Nuance Communications, Inc. DEPENDENT CHILD STOCK HELD OR ACQUIRED BY FILER SPOUSE \$500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 100 TO 499 5,000 TO 9,999 10,000 OR MORE IF SOLD (•)NET GAIN (•) LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Nucor Corp STOCK HELD OR ACQUIRED BY SPOUSE ✓ FILER ☐ DEPENDENT CHILD 1.000 TO 4.999 LESS THAN 100 100 TO 499 C) 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 () 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10.000--\$24.999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME NXP Semiconductors NV STOCK HELD OR ACQUIRED BY DEPENDENT CHILD SPOUSE **V** FILER LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE (•) LESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** NAME Occidental Petroleum Corp. STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES () 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE LESS THAN \$5,000

NET LOSS

STOCK PART 2 Hesad adopt drisdic Immend 'shinmhir imms' ookto' ald +hmicho'sd sq'snimO'fd 1 nesgd Bhiuda Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Orange New ² STOCK HELD OR ACQUIRED BY DEPENDENT CHILD FILER ☐ SPOUSE 500 TO 999 3 NUMBER OF SHARES LESS THAN 100 100 TO 499 1,000 TO 4,999 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE (•) LESS THAN \$5.000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Pernod Ricard SA STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 500 TO 999 1,000 TO 4,999 LESS THAN 100 100 TO 499 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5.000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Petroleo Brasileiro SA STOCK HELD OR ACQUIRED BY ☐ SPOUSE ☐ DEPENDENT CHILD **✓** FILER 100 TO 499 500 TO 999 1,000 TO 4,999 LESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN (LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** PJSC Gazprom STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD LESS THAN 100 100 TO 499 (•)500 TO 999 1.000 TO 4.999 NUMBER OF SHARES (C) 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Posco ADS STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES (ESS THAN 100 100 TO 499 3500 TO 999 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN () LESS THAN \$5,000 \$5,000--\$9,999 \$10,000-\$24,999 \$25,000--OR MORE NET LOSS

STOCK PART 2 Hesgid colpt drisdic Immend 'shimmir imms' ookto' ald+Immolto' sol sg' sinmO' fid 1 nesgid Bridge Br include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Publici Groupe SA ² STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD ✓ FILER 100 TO 499 500 TO 999 (1,000 TO 4,999 3 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$10,000--\$24,999 \$25,000--OR MORE \$5,000--\$9,999 (ESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** Qurate Retail, Inc. STOCK HELD OR ACQUIRED BY **▼** FILER ☐ SPOUSE ☐ DEPENDENT CHILD 100 TO 499 (a)500 TO 999 1.000 TO 4.999 NUMBER OF SHARES LESS THAN 100 5,000 TO,9,999 10,000 OR MORE IF SOLD NET GAIN (•) LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS NAME **BUSINESS ENTITY** Renault SA STOCK HELD OR ACQUIRED BY **▼** FILER ☐ SPOUSE ☐ DEPENDENT CHILD 1.000 TO 4.999 100 TO 499 © 500 TO 999 NUMBER OF SHARES LESS THAN 100 5.000 TO 9.999 ()10,000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10.000--\$24.999 \$25.000--OR MORE NET LOSS **BUSINESS ENTITY** Repsol SA STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 1,000 TO 4,999 LESS THAN 100 100 TO 499 ()500 TO 999 NUMBER OF SHARES (C) 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Sampo OYJ STOCK HELD OR ACQUIRED BY ✓ FILER ☐ SPOUSE DEPENDENT CHILD

NET GAIN

NET LOSS

NUMBER OF SHARES

IF SOLD

1,000 TO 4,999

\$10,000--\$24,999 \$25,000--OR MORE

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100 TO 499

10,000 OR MORE

\$5,000--\$9,999

500 TO 999

(ESS THAN 100

5,000 TO 9,999

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STOCK PART 2 Hesad capt drisdic Immend 'shimmhir imms' ookto' akd+hmicho'sd sa's nmO'f d 1 nesad Bhuda Radds+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Sanofi ADR 2 STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD **✓** FILER 100 TO 499 500 TO 999 3 NUMBER OF SHARES LESS THAN 100 () 1,000 TO 4,999 5.000 TO 9.999 10.000 OR MORE NET GAIN 4 IF SOLD \$10,000--\$24,999 \$25,000--OR MORE () LESS THAN \$5.000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** SAP AG STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE ☐ DEPENDENT CHILD 1,000 TO 4,999 (LESS THAN 100 100 TO 499 500 TO 999 NUMBER OF SHARES 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Schneider Electric SA STOCK HELD OR ACQUIRED BY SPOUSE FILER DEPENDENT CHILD LESS THAN 100 100 TO 499 C) 500 TO 999 1.000 TO 4.999 NUMBER OF SHARES 5.000 TO 9.999 ()10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Shinhan Financial Group Co. STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD 1.000 TO 4.999 LESS THAN 100 (100 TO 499 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN (LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Softbank Corp. STOCK HELD OR ACQUIRED BY ☐ FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN • LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS

STOCK PART 2 Hesgd capt dred hemmand shrimming many collab add three horses and shrimming shrimming hemmand include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. ¹ BUSINESS ENTITY NAME Sonova Holdings AG ² STOCK HELD OR ACQUIRED BY ☐ SPOUSE DEPENDENT CHILD FILER (C) LESS THAN 100 500 TO 999 3 NUMBER OF SHARES 100 TO 499 () 1.000 TO 4.999 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000-\$24,999 \$25,000--OR MORE (•) LESS THAN \$5.000 NET LOSS **BUSINESS ENTITY** Sumitomo Mitsui TR Holdings, Inc. STOCK HELD OR ACQUIRED BY FILER SPOUSE ☐ DEPENDENT CHILD 100 TO 499 3500 TO 999 (a) 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000)\$5,000--\$9,999 **(** \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Surgutneftegas PJSC SP □ SPOUSE STOCK HELD OR ACQUIRED BY ☐ DEPENDENT CHILD FILER 100 TO 499 500 TO 999 (1,000 TO 4,999 CLESS THAN 100 NUMBER OF SHARES 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Svenska Handelsbanken AB STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (•) 100 TO 499 500 TO 999 1,000 TO 4,999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Swatch Group AG STOCK HELD OR ACQUIRED BY **✓** FILER ☐ SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 (500 TO 999 1,000 TO 4,999

NET GAIN

NET LOSS

IF SOLD

\$10,000--\$24,999 \$25,000--OR MORE

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10,000 OR MORE

\$5,000--\$9,999

5,000 TO 9,999

ESS THAN \$5,000

STOCK					PART 2
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When reporting inform providing the number u				ild about whom you	are reporting by
¹ BUSINESS ENTITY		Swiss RE, LTD	N	AME	
² STOCK HELD OR AC	QUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
³ NUMBER OF SHARE	ES	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
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		5,000 TO 9,999	10,000 OR MOR	RE	
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BUSINESS ENTITY		T-i S		AME	
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BUSINESS ENTITY		Takeda Pharmaceutica		AME	
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BUSINESS ENTITY		Telecom Italia SPA	N _i	AME	
STOCK HELD OR A	QUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
NUMBER OF SHARE	≣S	OLESS THAN 100	0100 TO 499	500 TO 999	1,000 TO 4,999
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STOCK PART 2 Hesad capt dread hmend 'shimmhr imis' ookto akd+hmotho ad aa 's nmO' f d 1 neagd Bhuda Radde+and do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Telefonica Brasil SA ² STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 3 NUMBER OF SHARES CLESS THAN 100 500 TO 999 1.000 TO 4.999 5.000 TO 9.999 10,000 OR MORE 4 IF SOLD NET GAIN \$10.000-\$24.999 \$25.000--OR MORE (•) LESS THAN \$5.000 \$5.000--\$9.999 NET LOSS **BUSINESS ENTITY** NAME Tencent Holdings, LTD STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD 100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN \$5,000-\$9,999 ()LESS THAN \$5,000 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Tesco PLC STOCK HELD OR ACQUIRED BY SPOUSE FILER ☐ DEPENDENT CHILD (1,000 TO 4,999 OLESS THAN 100 100 TO 499 C) 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10.000-\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** The Technology Sel Sec SPD STOCK HELD OR ACQUIRED BY SPOUSE DEPENDENT CHILD **▼** FILER (100 TO 499 NUMBER OF SHARES LESS THAN 100 (C)500 TO 999 1,000 TO 4,999 10,000 OR MORE () 5,000 TO 9,999 IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME Total SA STOCK HELD OR ACQUIRED BY **✓** FILER SPOUSE ☐ DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 (•) 100 TO 499 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE

NET GAIN

NET LOSS

IF SOLD

\$10,000--\$24,999 \$25,000--OR MORE

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\$5,000--\$9,999

(ESS THAN \$5,000

STOCK PART 2 Hesgd capt area himmend 'shimmer mis' ookto' ald three ho's so so so so so so and a need Bruda Roddstand do NOT include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY Twitter, Inc. ☐ SPOUSE DEPENDENT CHILD 2 STOCK HELD OR ACQUIRED BY FILER 100 TO 499 500 TO 999 LESS THAN 100 () 1,000 TO 4,999 3 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE 4 IF SOLD NET GAIN \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE (ESS THAN \$5,000 NET LOSS **BUSINESS ENTITY** NAME **UBS Group AG** STOCK HELD OR ACQUIRED BY SPOUSE FILER DEPENDENT CHILD ()500 TO 999 1,000 TO 4,999 NUMBER OF SHARES LESS THAN 100 100 TO 499 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME United Health Group, Inc. STOCK HELD OR ACQUIRED BY **▼** FILER SPOUSE DEPENDENT CHILD 100 TO 499 1,000 TO 4,999 LESS THAN 100 500 TO 999 NUMBER OF SHARES 5,000 TO 9,999 () 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** Vaneck Vectors Gold Miner STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD (100 TO 499 500 TO 999 1,000 TO 4,999 NUMBER OF SHARES ()LESS THAN 100 5,000 TO 9,999 10.000 OR MORE IF SOLD NET GAIN (ESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME WM Morrison Supermarkets STOCK HELD OR ACQUIRED BY FILER ☐ SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 (500 TO 999 1,000 TO 4,999 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN •) LESS THAN \$5,000 \$5,000--\$9,999 ()\$10,000--\$24,999 ()\$25,000--OR MORE

NET LOSS

STOCK PART 2 Hesad calpt dread hmend 'shimmhr mis' ookto' ald+hmelto' sal say' sinmo' fid 1 nesad Bridge B include this page in the report. List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME ¹ BUSINESS ENTITY WPP PLC ² STOCK HELD OR ACQUIRED BY ☐ FILER SPOUSE DEPENDENT CHILD (100 TO 499 LESS THAN 100 ()500 TO 999 1.000 TO 4.999 3 NUMBER OF SHARES 10,000 OR MORE 5,000 TO 9,999 NET GAIN 4 IF SOLD \$10,000--\$24,999 \$25,000--OR MORE (•) LESS THAN \$5.000 \$5,000--\$9,999 NET LOSS **BUSINESS ENTITY** Wynn Macau, LTD ☐ DEPENDENT CHILD STOCK HELD OR ACQUIRED BY SPOUSE FILER 3500 TO 999 1,000 TO 4,999 (LESS THAN 100 100 TO 499 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** STOCK HELD OR ACQUIRED BY ☐ FILER ☐ SPOUSE ☐ DEPENDENT CHILD 1,000 TO 4,999 100 TO 499 500 TO 999 CLESS THAN 100 NUMBER OF SHARES 5,000 TO 9,999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 3500 TO 999 1,000 TO 4,999 10,000 OR MORE 5,000 TO 9,999 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS **BUSINESS ENTITY** NAME STOCK HELD OR ACQUIRED BY FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 500 TO 999) 1,000 TO 4,999 5.000 TO 9.999 10,000 OR MORE IF SOLD NET GAIN LESS THAN \$5,000 \$5,000--\$9,999 \$10,000--\$24,999 \$25,000--OR MORE NET LOSS

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 MUTUAL FUND	Cons Discret Select Se		AME	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CH	ILD
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
MUTUAL FUND	Health Care Select Se		AME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 TO 9,999	100 TO 499 10,000 OR MOR	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	Industrial Select Secto		NAME	
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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Invesco QQQ Trust	N.	AME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
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4	IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
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	IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 MUTUAL FUND	IShares Russell 1000 (AME	
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	ILD
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	O5,000 TO 9,999	10,000 OR MOF	RE	
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MUTUAL FUND	IShares Russell 1000		AME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CH	ILD
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MUTUAL FUND	IShare Russell 2000 G		IAME	
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IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	IShares Russell 2000 V		ME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4	IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
	MUTUAL FUND	IShares S&P Mid Cap		ME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499 10,000 OR MOF	6 500 TO 999	1,000 TO 4,999
	IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
	MUTUAL FUND	JShares S&P Mid Cap		IAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	LD
	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	С 500 ТО 999	1,000 TO 4,999
	IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS N	ECESSARY	

MUTUAL FUNDS PART 4 Heard of by direction from the control of th

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1	MUTUAL FUND	Materials Select Sector		ME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3	NUMBER OF SHARES OF MUTUAL FUND	©LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4	IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
	MUTUAL FUND	SPDR S&P 500 ETF		ME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	ILD
	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
	MUTUAL FUND	Invesco Premier Inst	N	IAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	ILD
	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499 10,000 OR MOF	500 TO 999	1,000 TO 4,999
	IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS N	ECESSARY	

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 MUTUAL FUND	MSIF Emerging Mark		AME	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CH	ILD
3 NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100 O5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	American EuroPacific		AME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	American Fundamenta		IAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	0100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
COPY	AND ATTACH ADDITIO	NAL PAGES AS N	ECESSARY	

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 MUTUAL FUND	American Growth Fun		AME	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	Clearbridge Mid Cap		AME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100 O 5,000 TO 9,999	100 TO 499	6 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	Skybridge Mul-AD Se		IAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499 10,000 OR MOR	○ 500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
COPY	AND ATTACH ADDITIO	NAL PAGES AS N	ECESSARY	

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 MUTUAL FUND	Invesco QQQ Trust	NA	ME	
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	LD
3 NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
MUTUAL FUND	IShares Barclays 1-3		AME Fund	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100 0 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	IShares MSCI Emergin		IAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

<u> </u>					
1	MUTUAL FUND	IShares Russell 1000 C		AME	
2	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHI	LD
3	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	CLESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
	MUTUAL FUND	IShares Russell 1000		AME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHI	ILD
	NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
	IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
	MUTUAL FUND .	JShares Russell 2000 E		IAME	
	SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	ILD
	NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000-\$24,999	\$25,000OR MORE
	COPY	AND ATTACH ADDITIO	NAL PAGES AS N	ECESSARY	

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 MUTUAL FUND	IShares Russell 2000		AME	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CH	ILD
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	MSIF Emerging Mark		AME ad	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100 5,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD ONET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	Proshares TR Ultrasho		IAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CHI	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100 05,000 TO 9,999	100 TO 499	500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN ONET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

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List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

<u> </u>				
1 MUTUAL FUND	SPDR DJIA Trust	N/	AME	
2 SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CH	ILD
3 NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	5,000 TO 9,999	10,000 OR MOF	RE	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	SPDR S&P 500 ETF		AME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES OF MUTUAL FUND	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
or more rental	O 5,000 TO 9,999	10,000 OR MOF	RE	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
MUTUAL FUND	SPDR Ser Trust BBG		NAME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	SPOUSE	DEPENDENT CH	ILD
NUMBER OF SHARES	OLESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
OF MUTUAL FUND	5,000 TO 9,999	10,000 OR MOF	RE	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000\$9,999	\$10,000\$24,999	\$25,000OR MORE
COPY	AND ATTACH ADDITION	ONAL PAGES AS N	FCFSSARY	

INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS PART 5

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List each source of income you, your spouse, or a dependent child received *in excess of \$500* that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

SOURCE OF INCOME Publicly held corporation	Morgan Stanley Smith 2800 Post Oak Blvd 18th Floor Houston, TX 77056	NAME AND ADDRESS Barney Managed Account Interest
² RECEIVED BY	✓ FILER	RONTRD DEPENDENT CHILD
3 AMOUNT	\$500\$4,999	\$5,000-\$9,999 \$10,000-\$24,999 \$25,000-OR MORE
SOURCE OF INCOME Publicly held corporation	Morgan Stanley Smith 2800 Post Oak Blvd 18th Floor Houston, TX 77056	NAME AND ADDRESS Barney Managed Account Dividends
RECEIVED BY	FILER	SPOUSE DEPENDENT CHILD
AMOUNT	\$500\$4,999	\$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
SOURCE OF INCOME Publicly held corporation	Tenant 2818 S. Bartell Apt A7 Houston, TX 77054	NAME AND ADDRESS
RECEIVED BY	✓ FILER	SPOUSE DEPENDENT CHILD
AMOUNT	\$500\$4,999	\$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHILD
2 STREETADDRESS NOTAVAILABLE (Check If Filer's Home Address)		STREET ADDRESS, INCLU	JDING CITY, COUNTY, AND STATE
3 DESCRIPTION LOTS ACRES	1 lot Harris County		ND NAME OF COUNTY WHERE LOCATED
A NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL INTEREST)			
F SOLD ONET GAIN NET LOSS	OLESS THAN:	\$5,000 \$5,000\$9,99	99 (\$10,000\$24,999 (\$25,000OR MORE
HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHILD
STREET ADDRESS NOT AVAILABLE (Check If Filer's Home Address)	2818 S. Bartel Houston, TX	II St. Apt. A7	JDING CITY, COUNTY, AND STATE
DESCRIPTION LOTS ACRES	1 lot Harris County		ND NAME OF COUNTY WHERE LOCATED
NAMES OF PERSONS RETAINING AN INTEREST NOT APPLICABLE (SEVERED MINERAL INTEREST)			
IF SOLD ONET GAIN ONET LOSS	O LESS THAN	\$5,000 \$5,000\$9,99	9 \$10,000\$24,999 \$25,000OR MORE

INTERESTS IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHILD
2 DESCRIPTION		. [7]	E AND ADDRESS f Filer's Home Address)
	The Law Office	of Rodney Ellis,	
3 IF SOLD NET GAIN	LESS THAN \$	5,000 \$5,000\$9,99	99 ()\$10,000\$24,999 ()\$25,000OR MORE
O NET LOSS			
HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHILD
DESCRIPTION			e and address Filer's Home Address)
	RGE Investme	nts, Inc.	
IF SOLD	LESS THAN \$	5,000 ()\$5,000\$9,99	99 \$10,000\$24,999 \$25,000OR MORE
ONET GAIN ONET LOSS			
HELD OR ACQUIRED BY	✓ FILER	SPOUSE	DEPENDENT CHILD
DESCRIPTION		[]	ME AND ADDRESS f Filer's Home Address)
		ucts Company	, , , , , , , , , , , , , , , , , , ,
	17 State Street New York, NY		
IF SOLD	OLEGE THAN 6	5 000 0 \$5 000-\$0 00	99 \$10,000\$24,999 \$25,000OR MORE
NET GAIN NET LOSS	CLOS ITANS	J\$0,000 J\$0,000\$9,55	55 V \$10,000-424,555 V \$25,000-ON WORE

INTERESTS IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS-INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

1 HELD OR ACQUIRED BY	☐ FILER ☑ SPOUSE ☐ DEPENDENT CHILD
² DESCRIPTION	NAME AND ADDRESS (Check If Filer's Home Address) Waterman Steele, LLC 3308 West Main Street Houston, TX 77098
3 IF SOLD NET GAIN NET LOSS	OLESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
HELD OR ACQUIRED BY	FILER SPOUSE DEPENDENT CHILD
DESCRIPTION	NAME AND ADDRESS (Check If Filer's Home Address) Waterman Steele Real Estate Advisors 3308 West Main Street Houston, TX 77098
IF SOLD ONET GAIN ONET LOSS	OLESS THAN \$5,000 \Quad \$5,000\$9,999 \Quad \$10,000\$24,999 \Quad \$25,000OR MORE
HELD OR ACQUIRED BY	☐ FILER ☐ SPOUSE ☐ DEPENDENT CHILD
DESCRIPTION	NAME AND ADDRESS (Check If Filer's Home Address)
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE

GIFTS PART 8

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Identify any person or organization that has given a gift *worth more than \$250* to you, your spouse, or a dependent child, and describe the gift. The description of a gift of cash or a cash equivalent, such as a negotiable instrument or gift certificate must include a statement of the value of the gift. Do not include: 1) expenditures required to be registered as a lobbyist under chapter 305 of the Government Code; 2) political contributions reported as required by law; or 3) gifts given by a person related to the recipient within the second degree by consanguinity or affinity. For more information, see FORM PFS--INSTRUCTION GUIDE.

·			
¹ CNMNQ	Amber Mostyn 3810 W. Alabama St. Houston, TX 77027	NAME AND	ADDRESS
² RECIPIENT	☑ FILER	SPOUSE	DEPENDENT CHILD
DESCRIPTION OF GIFT	Guest at her home in G	alveston. Estimated v	alue of \$7,500.
DONOR		NAME AND	DADDRESS
RECIPIENT	FILER	SPOUSE	DEPENDENT CHILD
DESCRIPTION OF GIFT			
DONOR		NAME ANG	DADDRESS
RECIPIENT	FILER	SPOUSE	DEPENDENT CHILD
CDRBQHOSHNMNE FHES			
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OWNERSHIP OF BUSINESS ASSOCIATIONS

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet and DO NOT include this page in the report.

Describe each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 5 percent or more of the outstanding ownership. For more information, see FORM PFS - INSTRUCTION GUIDE.

When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.

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1 BUSINESS ASSOCIATION	NAME AND ADDRESS (check if Filer's Home Address)
	The Law Office of Rodney Ellis, P.C.
2 BUSINESS TYPE	Corporation C Limited Partnership Professional Association
	Firm
3 HELD, ACQUIRED, OR SOLD BY	FILER SPOUSE DEPENDENT CHILD
BUSINESS ASSOCIATION	NAME AND ADDRESS (check if Filer's Home Address)
	RGE Investments, Inc.
BUSINESS TYPE	Corporation C Limited Partnership Professional Association
	Firm Limited Liability Partnership Joint Venture Partnership Professional Corporation Other
HELD, ACQUIRED, OR SOLD BY	FILER SPOUSE DEPENDENT CHILD
OK SOLD BY	
BUSINESS ASSOCIATION	NAME AND ADDRESS (check if Filer's Home Address) Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098
	(check if Filer's Home Address) Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098 Corporation C Limited Partnership Professional Association
BUSINESS ASSOCIATION	(check if Filer's Home Address) Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098
BUSINESS ASSOCIATION	(check if Filer's Home Address) Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098 Corporation C Limited Partnership Professional Association D Firm C Limited Liability Partnership Joint Venture
BUSINESS ASSOCIATION BUSINESS TYPE HELD, ACQUIRED,	(check if Filer's Home Address) Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098 Corporation
BUSINESS ASSOCIATION BUSINESS TYPE HELD, ACQUIRED, OR SOLD BY	(check if Filer's Home Address) Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098 Corporation
BUSINESS ASSOCIATION BUSINESS TYPE HELD, ACQUIRED, OR SOLD BY	Waterman Steele, L.L.C. 3308 W Main Street Houston TX 77098 Corporation Limited Partnership Professional Association Pirm
BUSINESS ASSOCIATION BUSINESS TYPE HELD, ACQUIRED, OR SOLD BY BUSINESS ASSOCIATION	Corporation Cother Corporation Cother Coth

PART 11B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 BUSINESS ASSOCIATION	The Law Office of Rod		ADDRESS is Home Address)
² BUSINESS TYPE		Professional	Corporation
³ HELD, ACQUIRED, OR SOLD BY	✓ FILER	SPOUSE	DEPENDENT CHILD ———
⁴ ASSETS	Cash Fixed Assets	PTION	CATEGORY LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
			\$10,000\$24,999 \$25,000\$9,999 \$25,000OR MORE \$5,000 \$5,000\$9,999
			\$10,000\$24,999 \$25,000OR MORE OLESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
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PART 11B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

BUSINESS ASSOCIATION	RGE Investments, Inc.	NAME AND /	ADDRESS s Home Address)
² BUSINESS TYPE		Corpo	oration
³ HELD, ACQUIRED, OR SOLD BY	✓ FILER	SPOUSE	DEPENDENT CHILD —
4 ASSETS	Cash		CATEGORY LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
	Investments	10	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
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			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
	COPY AND ATTACH ADD	ITIONAL PAGES	AS NECESSARY

PART 11B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 BUSINESS ASSOCIATION	Waterman Steele, L.L 3308 W. Main St. Ho	C.	ADDRESS s Home Address)
² BUSINESS TYPE	ooo w. wan ot. The		lity Company
³ HELD, ACQUIRED, OR SOLD BY	☐ FILER	✓ SPOUSE	DEPENDENT CHILD —
4 ASSETS	Cash	RIPTION	CATEGORY LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
		~(0)	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
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			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE

PART 11B

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Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 BUSINESS ASSOCIATION	Waterman Steele Real 3308 W. Main St. Hou	(Check If File	DADDRESS r's Home Address)
² BUSINESS TYPE		Partn	nership
³ HELD, ACQUIRED, OR SOLD BY	FILER	✓ SPOUSE	DEPENDENT CHILD ———
⁴ ASSETS	Cash	PTION	CATEGORY LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
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			LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE

LIABILITIES OF BUSINESS ASSOCIATIONS

PART 11C

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all liabilities of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the liabilities. For more information, see FORM PFS--INSTRUCTION GUIDE.

1	BUSINESS ASSOCIATION	The Law Office of Rodney I	NAME AND (Check If Filer'	
2	BUSINESS TYPE	Pr	ofessional	Corporation
3	HELD, ACQUIRED, OR SOLD BY	✓ FILER	SPOUSE	DEPENDENT CHILD ———
4	LIABILITIES	DESCRIPTION Accounts Payable		CATEGORY LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
			10	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
				LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE
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			 	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE

LIABILITIES OF BUSINESS ASSOCIATIONS

PART 11C

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, **and do NOT include this** page in the report.

Describe all liabilities of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the liabilities. For more information, see FORM PFS--INSTRUCTION GUIDE.

		VI				
1	BUSINESS	NAME AND ADDRESS (Check If Filer's Home Address)				
ASSOCIATION		Waterman Steele, L.L.C.	٠٠٠			
		3308 W. Main St. Housto	n, TX 77098			
2	BUSINESS TYPE	Lir	nited Liabi	lity Company		
3	HELD, ACQUIRED, OR SOLD BY	☐ FILER	✓ SPOUSE	DEPENDENT CHILD		
4	LIABILITIES	DESCRIPTION Accounts Payable		CATEGORY LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE		
			10	LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE		
				LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE		
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				C LESS THAN \$5,000 \$5,000\$9,999 \$10,000\$24,999 \$25,000OR MORE		

BOARDS AND EXECUTIVE POSITIONS

PART 12

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List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 ORGANIZATION	The Law Office of Rodney E	llis, P.C.			
POSITION HELD	Director/President				
³ POSITION HELD BY	√ FiLER	SPOUSE	DEPENDENT CHILD		
ORGANIZATION	RGE Investments, Inc.				
POSITION HELD	Director/President		>		
POSITION HELD BY	FILER	SPOUSE	DEPENDENT CHILD		
ORGANIZATION	Waterman Steele, L.L.C.				
POSITION HELD	Director/President				
POSITION HELD BY	FILER	✓ SPOUSE	DEPENDENT CHILD		
ORGANIZATION	Waterman Steele Real Estate Advisors				
POSITION HELD	Partner				
POSITION HELD BY	FILER	✓ SPOUSE	DEPENDENT CHILD		
ORGANIZATION	Houston Committee on Foreign Relations				
POSITION HELD	Member				
POSITION HELD BY	✓ FILER	SPOUSE	DEPENDENT CHILD		
	COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

BOARDS AND EXECUTIVE POSITIONS

PART 12

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List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 ORGANIZATION	LBJ Presidential Library Fou	ndation		
² POSITION HELD	Board Trustee			
³ POSITION HELD BY	 FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	National Assn of Black County Officials			
POSITION HELD	President		·	
POSITION HELD BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	National Assn of Counties			
POSITION HELD	Board Member			
POSITION HELD BY	FILER	☐ SPOUSE	DEPENDENT CHILD	
ORGANIZATION	National Assn of Public Defenders Fund for Justice			
POSITION HELD	Director			
POSITION HELD BY	✓ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	National Organization of Black County Officials			
POSITION HELD	Vice Chair			
POSITION HELD BY	✓ FILER	SPOUSE	DEPENDENT CHILD	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

BOARDS AND EXECUTIVE POSITIONS

PART 12

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List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

•				
1 ORGANIZATION	Quattrone Center			
POSITION HELD	Advisory Board			
³ POSITION HELD BY	FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	Texas Assn of Countie	es		1 11 11101
POSITION HELD	Member			
POSITION HELD BY		SPOUSE	DEPENDENT CHILD	
ORGANIZATION	University of Texas Law School Foundation			
POSITION HELD	Senior Board Trustee			
POSITION HELD BY	✓ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION				
POSITION HELD				
POSITION HELD BY	FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION				
POSITION HELD				
POSITION HELD BY	FILER	SPOUSE	DEPENDENT CHILD	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verified. The verification page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer paths and affirmations. Without proper verification, the statement is not considered filed.

I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2019 and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.

JENNIFER BALDWIN
Notary Public, State of Texas
Comm. Expires 10-17-2023
Notary ID 126292242

Sanature of Filer

AFFIX NOTARY STAMP / SEAL ABOVE

Swom to and subscribed before me, by the said 1000 115s the 30m day of 1000 115s the 30m day of

Signaturu gi Silicor administering eath

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Forms provided by Texas Ethics Commission

www.cthics.stato.tx.us

Revised 12/5/2019