Received by NSD/FARA Registration Unit 06/30/2022 12:49:05 PM

OMB No. 1124-0002; Expires July 31, 2023

U.S. Department of Justice

Washington, DC 20530

## **Supplemental Statement**

Pursuant to the Foreign Agents Registration Act of 1938, as amended

For 6 Month Period Ending 05/31/2022 (Insert date) I - REGISTRANT 1. (a) Name of Registrant (b) Registration Number Capitol Counsel, LLC 6328 (c) Primary Business Address 700 13th Street, NW, Suite 200, Washington, DC 20005 2. Has there been a change in the information previously furnished in connection with the following? (a) If an individual: (1) Residence address(es) Yes 🗌 No 🗆 (2) Citizenship Yes  $\square$ No □ (3) Occupation Yes  $\square$ No □ (b) If an organization: (1) Name Yes 🗌 No 🗷 (2) Ownership or control Yes 🗌 No 🗷 (3) Branch offices Yes  $\square$ No 🗷 (c) Explain fully all changes, if any, indicated in Items (a) and (b) above. IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6. 3. If the registrant previously filed an Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting Yes No 🗷 period. If yes, has the registrant filed an updated Exhibit C? Yes  $\square$ No □ If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

Yes	30 <del>70 - 1</del> 7 - 30 - 30 - 30 - 40 - 40 - 40 - 40 - 40	directors or similar offic	cials during this 6 m	onth reporting period	?
	e following information:	C:4:	1:_	D141	D 1
Name	Residence Addı	ess Citi	izenship	Position	Date Assumed
(b) Have any persons	s ceased acting as partners, o	officers, directors or sim	ilar officials of the	registrant during this	6 month reporting period
	e following information:				
Name	S	Position			Date Ceased
Yes	<del></del>		nerance of the intere	ests of any foreign prin	ncipal?
	ach such person and describ		_		
Name	Foreign	Principal(s) Represente	d Services		
to the registrant d related or similar		interests of any foreign Yes □	principal(s) in other	r than a clerical or sec	cretarial, or in a
Name	Foreign Principal	Residence Address	Citizenship	Position	Date Assumed
connection with	vees or individuals, who have the registrant during this 6 re tollowing information:			terminated their emplo	oyment or
Name		osition or Connection		Date	Terminated
principal during t	rees or individuals, who have this 6 month reporting perions of following information:		stration statement, t No 🗷	erminated their conne	ection with any foreign
Name	Position or Cor	nection Foreig	n Principal	Date	Terminated
6. Have short form reg statement or submitt	istration statements been pr ted with this filing?	eviously filed by all of thes 🗷 No 🗌	he persons named in	n Items 5(a) and 5(b)	of the supplemental
If no, list names of p	persons who have not previo	ously filed the required s	tatement or are not	submitting a short for	m with this filing.

(PAGE 3)

#### II - FOREIGN PRINCIPAL

7.	Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?  Yes ▼ No □			
	If yes, furnish the following information:			
	Foreign Principal		Dat	te of Termination
	U.S China Transpacific Foundation		05,	/31/2022
8.	Has the registrant added any new foreign prin	cipal(s) <sup>2</sup> during this 6 m	onth reporting	period?
	Yes □ No 🗷		1 01	•
	If yes, furnish the following information:			
	Foreign Principal		Da	te Added
		1: 1 0	. 1/\	
9.	In addition to those named in Items 7 and 8, it during the 6 month reporting period.	any, list the foreign prin	icipal(s) whom	the registrant continued to represent
	China-United States Exchange Foundation	on		
10	. (a) Have the registrant filed Exhibits A and I	B for the newly added fo	reign principal(	s) if any listed in Item 8?
	Exhibit $A^3$ Yes $\square$	No □ N/A		o, 1 any, 1000 in 10011 0.
	Exhibit $B^4$ Yes $\square$	No □ N/A	×	
	If no, please file the required exhibit.			
	(b) Have there been any changes in the Exhib	oits A and/or B previous	ly filed for any	foreign principal(s) whom the registrant
	represented during this 6 month period?	11.0 337.5	Yes □	No 区
	If yes, has the registrant filed an amendm		Yes □	No 🗆
	If no, please file the required amendment	! •?? ——————————————————————————————————		

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

## III - ACTIVITIES

11.	During this 6 month reporting period, has the reging principal named in Items 7, 8, or 9 of this statement		d in any activities t Yes 🗷	for or rendered any servi No □	ces to any foreign
	If yes, identify each foreign principal and describ	e in full detail	all activities and s	ervices:	
	Foreign Principal	Activities/Se	rvices		
	China-United States Exchange Foundation	issues affe	ecting US-China s report period. to US-China rela	ings with Members of Relations. NO meeting Organizing panels or tions. Monitoring iss	gs occurred n issues
	U.S China Transpacific Foundation	No Activit:	ies		
12.	During this 6 month reporting period, has the reg	istrant, on beh	alf of any foreign	principal, engaged in pol	itical activity <sup>5</sup>
		o 🗆	,	1 , 2 3 1	<u>,</u>
	If yes, identify each foreign principal and describ the relations, interests and policies sought to be in arranged, sponsored or delivered speeches, lectur- places of delivery, names of speakers and subject lobbying, promotion, perception management, pur informational materials.	nfluenced and es, social med matter. The re	the means employed ia, internet posting esponse must inclu	ed to achieve this purpos gs, or media broadcasts, g de, but not be limited to,	e. If the registrant give details as to dates, activities involving
	Set forth below a general description of the regist See Appendix for Response	rant's politica	l activities.		
	Set forth below in the required detail the registrar	nt's political ac	ctivities.		
	Foreign Principal Date Con	ıtact	Method	Purpose	
13.	In addition to the above described activities, if an behalf which benefits the registrant's foreign prin		istrant engaged in a Yes □	nctivity or rendered any s	services on its own
	If yes, describe fully.	• 57	pagestasis il	ominate — I	
	Foreign Principal	Act	tivities/Services		

<sup>5 &</sup>quot;Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

(PAGE 5)

#### IV - FINANCIAL INFORMATION

14. (a)	RECEIPTS-MONIES During this 6 month re statement, or from any money either as compe	porting period, ha other source, for o	or in the interests	of any such for			
	If no, please explain w	hy no monies wer	e received.				
	U.S China Transpa	acific Foundation	on: No work o	ccurred and	there is no reta	iner.	
	If yes, set forth below	in the required det	ail and separately	y for each fore	ign principal an acc	ount of such mo	nies.6
	Foreign Principal See Appendix for Response	Date Received	From Whom	Purpos	e	Amount	Subtotal
						<u>\$</u>	90,000.00 Total
(b)	RECEIPTS - FUNDS During this 6 month re	AISING CAMP.	AIGN as the registrant r	eceived, as pa	rt of a fundraising c	ampaign <sup>7</sup> , any m	noney on behalf
	of any foreign principa	l named in Items	7, 8, or 9 of this s	statement?	Yes 🗆	No 🗷	
	If yes, has the registrar	nt previously filed	an Exhibit D <sup>8</sup> to	its registration	n? Yes □	No □	
	If yes, indicate the	date the Exhibit D	was filed.	Date			
	If no, please file the	e required Exhibit	D.				
(c)	RECEIPTS-THINGS During this 6 month re foreign principal name foreign principal?	porting period, ha d in Items 7, 8, or					
	If yes, furnish the follo	wing information	2				
	Foreign Principal	Date F	Received Fro	om Whom	Purpose	Thing of V	/alue

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

Properties of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

10	AG]	- 6
111	7(1)	. 0

15	(0)	DIEDLIDERALNTE MANNIES
1.2.	111	DISBURSEMENTS-MONIES

During this 6 mor	nth reporting p	period, has the registr	ant disbursed or expended monies in connection with activity on
behalf of any fore	eign principal	named in Items 7, 8,	or 9 of this statement or transmitted monies to any such foreign
principal?	Yes 🗷	No □	

If no, explain why no disbursements were made.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Foreign Principal	Date	Recipient	Purpose	Amount	Sul	ototal
China-United	12/31/2021	FARA Office	FARA Filing Fee	\$ 305.00		
States Exchange						
Foundation					526	
China-United					> \$	305.00
States Exchange						
Foundation	0 0	8	8 8	8		
U.S China	12/31/2021	FARA Office	FARA Filing Fee	\$ 305.00		
Transpacific						
Foundation						
U.S China					> \$	305.00
Transpacific						
Foundation						

\$ 610.00 Total

Received by NSD/FARA Registration Unit 06/30/2022 12:49:05 PM (PAGE 7) (b) DISBURSEMENTS-THINGS OF VALUE During this 6 month reporting period, has the registrant disposed of anything of value 10 other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes 🗌 No 🗷 If yes, furnish the following information: Foreign Principal Thing of Value Date Recipient Purpose (c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value 11 in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes 🗷 No 🗆 If yes, furnish the following information:

Political Organization/Candidate Method

Amount/Thing of Value

Date

See Appendix for Response Donor

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

(PAGE 8)

## V-INFORMATIONAL MATERIALS

16. (a)	During this 6 month reprinted informational materials		the registrant No	prepared, disseminated	or caused to b	e disseminated any
(b)	If yes, identify each sucremaining items in this		l for which in	formational materials we	ere disseminat	ed and respond to the
			_	blished or specified sum		90 <u>00 10</u>
	strant's activities in prep				Yes 🗆	No 🗆
11.70	2 5.1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	reign principal, and		period of time and the an		A 00.7500 A000000 A
For	eign Principal		Р	eriod of Time		Amount
						T-4-1
18 Dur	ing this 6 month reporti	no period has the r	egistrant's act	ivities in preparing diss	eminating or o	Total causing the dissemination of
	ormational materials incl				cilimating of c	dusing the dissemination of
☐ Mag	azine or newspaper	☐ Advertising ca	mpaigns	☐ Press releases		Pamphlets or other publication
☐ Lect	ures or speeches	☐ Radio or TV b	roadcasts	☐ Motion picture fil	ms 🔲 🛚	Letters or telegrams
☐ Ema						
				00-0-10-00		
				8- 01-10-10-10-10-10-10-10-10-10-10-10-10-1		
☐ Othe	r (specify)	<del>-0.8</del> 8.5.5	020-6		11-12 m	3-33
	ring this 6 month reporting		egistrant prep	ared, disseminated, or ca	aused to be dis	sseminated informational
	Public officials		☐ Newspap	ers	☐ Lil	oraries
	Legislators		☐ Editors		□ Ed	ucational institutions
	Government agencies		☐ Civic gro	ups or associations	□ Na	tionality groups
	Other (specify)	0.40				
20. Ind	icate the language used i	n the informational	l materials:			
	] English		☐ Other	(specify)	500-10-10	
21. Has	the registrant filed with	the FARA Unit, U	S. Departme	nt of Justice a copy of ea	ich item of su	ch informational materials
prej	pared, disseminated or ca	aused to be dissemi	nated during	this 6 month reporting p	eriod?	Yes □ No □
Ifn	o, please file the require	d informational ma	terials.			
-						
		ach item of such in	formational m	naterials with the stateme	ent required by	y Section 4(b) of the Act?
7	Yes □ No □					

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

(PAGE 9)	

#### VI - EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature 13
06/30/2022	John D. Raffaelli	 /s/John D. Raffaelli

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

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## **EXECUTION**

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature
June 30, 2022	John D. Raffaelli	Jahn D. Raffalli
		0 80

# Appendix Response to Item 12-Description

China-United States Exchange Foundation: April 13, 2022, Video Panel - "Fifty Years After President Nixon's Trip: The Meaning and Durability of the One China Policy as a Cornerstone for Sino-American Relations," featuring former Representative Dr. Charles Boustany, Jr., Professor Xie Tao, Dean, Beijing Foreign Studies University, and Susan Thornton, Senior Fellow, Yale Law School.

U.S.- China Transpacific Foundation: No Political Activity

## Appendix Response to Item 14(a)-Detail

Foreign Principal(s)	Date Received	From Whom	Purpose	Amount	Subtotal
China-United States Exchange Foundation	12/01/2021	China-United States Exchange Foundation	Retainer	\$15,000.00	
China-United States Exchange Foundation	01/01/2022	China-United States Exchange Foundation	Retainer	\$15,000.00	
China-United States Exchange Foundation	02/01/2022	China-United States Exchange Foundation	Retainer	\$15,000.00	
China-United States Exchange Foundation	03/01/2022	China-United States Exchange Foundation	Retainer	\$15,000.00	
China-United States Exchange Foundation	04/01/2022	China-United States Exchange Foundation	Retainer	\$15,000.00	
China-United States Exchange Foundation	05/01/2022	China-United States Exchange Foundation	Retainer	\$15,000.00	
China-United States Exchange Foundation				>	\$90,000.00

## Appendix Response to Item 15(c)

Item 15(c): DISBURSEMENTS-POLITICAL CONTRIBUTIONS: During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/ Thing of Value
12/09/2021	Jablon, Ann	Kuster for Congress, Inc.	Credit Card	\$ 500.00
12/31/2021	Finley, Shannon	Manchin for West Virginia	ActBlue	\$ 2,500.00
12/31/2021	Finley, Shannon	Conor Lamb for Senate	ActBlue	\$ 2,900.00
12/31/2021	Finley, Shannon	DSCC	ActBlue	\$ 36,500.00
12/31/2021	Finley, Shannon	Cheri Beasley for North Carolina	ActBlue	\$ 900.00
12/31/2021	Finley, Shannon	Blumenthal for Connecticut	ActBlue	\$ 4,800.00
12/31/2021	Finley, Shannon	Virgin Islands for Plaskett	ActBlue	\$ 500.00
12/31/2021	Finley, Shannon	New Millennium PAC	ActBlue	\$ 5,000.00
12/31/2021	Finley, Shannon	Tim Ryan for Ohio	ActBlue	\$ 2,900.00
12/31/2021	Finley, Shannon	Susie Lee for Congress	ActBlue	\$ 1,000.00
12/31/2021	Finley, Shannon	All for Our Country Leadership PAC	ActBlue	\$ 5,000.00
12/31/2021	Finley, Shannon	Warnock for Georgia	ActBlue	\$ 2,000.00
12/31/2021	Finley, Shannon	Cheri Beasley for North Carolina	ActBlue	\$ 2,000.00
01/06/2022	Jablon, Ann	Lisa Blunt Rochester for Congress	Credit Card	\$ 1,500.00
01/19/2022	Finley, Shannon	Sinema for Arizona	ActBlue	\$ 5,800.00
02/05/2022	Gold, Martin	Liz Cheney For Wyoming	Credit Card	\$ 50.00
2/14/2022	Jablon, Ann	Friends of Mark Warner	Credit Card	\$ 1,000.00
02/18/2022	Finley, Shannon	Liz Cheney For Wyoming	Credit Card	\$ 199.00
02/18/2022	Gold, Martin	Liz Cheney For Wyoming	Credit Card	\$ 50.00
3/08/2022	Jablon, Ann	Friends of Dan Kildee	Credit Card	\$ 1,000.00
03/08/2022	Jablon, Ann	Friends of Lucy McBath	Credit Card	\$ 500.00
03/08/2022	Jablon, Ann	Virgin Islands for Plaskett	Credit Card	\$ 500.00
03/09/2022	Jablon, Ann	Lori Trahan for Congress Committee	Credit Card	\$ 500.00
3/09/2022	Jablon, Ann	Angie Craig for Congress	Credit Card	\$ 500.00
3/11/2022	Jablon, Ann	Dece	Credit Card	\$ 1,000.00
3/13/2022	Jablon, Ann	Judy Chu for Congress	Credit Card	\$ 500.00
3/17/2022	Jablon, Ann	Brian Higgins for Congress	Credit Card	\$ 500.00
3/18/2022	Jablon, Ann	Kuster for Congress, Inc	Credit Card	\$ 500.00
3/23/2022	Jablon, Ann	Dece	Credit Card	\$ 1,000.00
3/29/2022	Jablon, Ann	Lori Trahan for Congress Committee	Credit Card	\$ 500.00
3/31/2022	Jablon, Ann	Pallone for Congress	Credit Card	\$ 1,000.00
03/31/2022	Finley, Shannon	Montanans for Jon Tester	ActBlue	\$ 1,000.00
3/31/2022	Finley, Shannon	DSCC	ActBlue	\$ 3,000.00

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Date	Donor	Political Organization/Candidate	Method	Amount/ Thing of Value
03/31/2022	Finley, Shannon	Sharice Davids for Congress	ActBlue	\$ 2,900.00
03/31/2022	Finley, Shannon	Friends of Dan Kildee	ActBlue	\$ 500.00
03/31/2022	Finley, Shannon	Friends of Mark Warner	ActBlue	\$ 2,500.00
03/31/2022	Finley, Shannon	Tim Ryan for Ohio	ActBlue	\$ 2,900.00
03/31/2022	Jablon, Ann	Dr Kim Schrier for Congress	Credit Card	\$ 500.00
05/03/2022	Jablon, Ann	Catherine Cortez Masto for Senate	Credit Card	\$ 500.00
05/10/2022	Jablon, Ann	The Bill Keating Committee	Credit Card	\$ 500.00
05/12/2022	Jablon, Ann	Dwight Evans for Congress	Credit Card	\$ 500.00
05/13/2022	Jablon, Ann	Larson for Congress	Credit Card	\$ 250.00
05/14/2022	Jablon, Ann	Debbie Dingell for Congress	Credit Card	\$ 500.00
05/16/2022	Jablon, Ann	Heather Mizeur for Congress	Credit Card	\$ 250.00
05/19/2022	Jablon, Ann	Committee to Re-Elect Nydia Velazquez	Credit Card	\$ 500.00
05/19/2022	Jablon, Ann	Troy Carter for Congress	Credit Card	\$ 500.00
05/20/2022	Gold, Martin	2022 Senators Classic Committee	Credit Card	\$ 5,000.00